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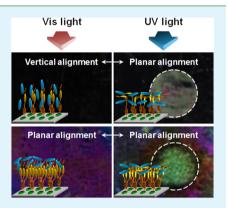
# Photoresponsive Carbohydrate-based Giant Surfactants: Automatic Vertical Alignment of Nematic Liquid Crystal for the Remote-Controllable Optical Device

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**Supporting Information** 

**ABSTRACT:** Photoresponsive carbohydrate-based giant surfactants (abbreviated as CELA<sub>n</sub>D-OH) were specifically designed and synthesized for the automatic vertical alignment (VA) layer of nematic (N) liquid crystal (LC), which can be applied for the fabrication of remote-controllable optical devices. Without the conventional polymerbased LC alignment process, a perfect VA layer was automatically constructed by directly adding the 0.1 wt % CELA<sub>1</sub>D-OH in the N-LC media. The programmed CELA<sub>1</sub>D-OH giant surfactants in the N-LC media gradually diffused onto the substrates of LC cell and self-assembled to the expanded monolayer structure, which can provide enough empty spaces for N-LC molecules to crawl into the empty zones for the construction of VA layer. On the other hand, the CELA<sub>3</sub>D-OH giant surfactants forming the condensed monolayer structure on the substrates exhibited a planar alignment (PA) rather than a VA. Upon tuning the wavelength of light, the N-LC alignments were reversibly switched between VA and PA in the remote-controllable LC optical devices. Based on the experimental results, it was realized that



understanding the interactions between N-LC molecules and amphiphilic giant surfactants is critical to design the suitable materials for the automatic LC alignment.

KEYWORDS: click-chemistry, photochemistry, giant surfactant, amphiphile, liquid crystal alignment

# INTRODUCTION

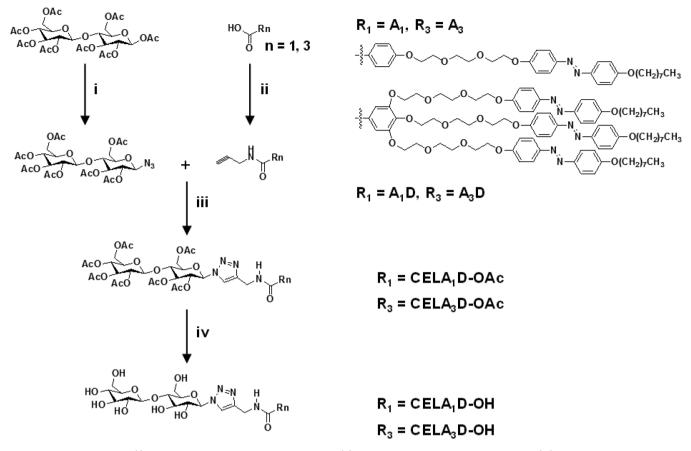
The control of liquid crystal (LC) alignment is one of the most important technologies for high-performance LC devices such as light shutters, sensors and modulators.<sup>1–8</sup> In LC display (LCD) industries, the molecular orientation of active LC soft materials has been mainly achieved by rubbing the polymerbased alignment film. Among various polymers, the thermally and mechanically stable polyimides exhibiting strong anchoring interactions with the LC molecules have been widely used.<sup>9–15</sup> However, the mechanical contact methods can generate critical drawbacks, such as unstable electric charges, residual stresses and dust particles, which can deteriorate the electrical and optical performances of LCD devices.<sup>16–21</sup> Recently, contact free alignment methods have been intensively investigated to overcome these disadvantages of conventional rubbing methods as well as to cut the manufacturing cost.<sup>22–25</sup>

An alternative method to achieve LC orientation is photoalignment using photochromic materials, such as azobenzene mesogens, cinnamoyl chromophores, spyropyran-merocyanine isomers and coumarine derivatives.<sup>26-30</sup> The nematic (N) LC soft materials have the molecular orientational long-range order only in one dimension (1D), and their macroscopic molecular orientations are effectively controlled by external forces, such as electric and magnetic fields with and without the support of surface alignments.<sup>31-33</sup> Because the molecular conformations of photochromic doping materials can be effectively switched by light, the overall molecular orientations of N-LC can be reversibly controlled. The photomodulation of LC alignment has some advantages due to the contact free and remote-controllable characteristics. Additionally, it can be useful for patterning processes on the flexible substrates, which is an important requirement for the flexible displays and devices.<sup>34–41</sup> Among photochromic materials, the azobenzene derivatives can be promising alignment materials for the LC photomodulating devices because the cooperative conformational changes of azobenzene are reversibly and effectively tuned with respect to the wavelength of light.<sup>42–47</sup>

More recently, the self-assembled materials on the solid surface have been newly introduced for the automatic formation of LC anchoring conditions.<sup>48–51</sup> There are several reports for the modifications of the solid surface for LC alignment layers by directly introducing carbon-based nano-materials, gold nanoparticles and silane compounds in the N-LC media.<sup>52–57</sup> Among them, amphiphilic supramolecules that consist of hydrophobic tails and hydrophilic head groups are

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Scheme 1. Synthesis of CELA<sub>1</sub>D-OH and CELA<sub>3</sub>D-OH by a Click Reaction<sup>a</sup>



"Reagents and conditions: (i) Me<sub>3</sub>SiN<sub>3</sub>, SnCl<sub>4</sub>, CH<sub>2</sub>Cl<sub>2</sub>, 25 °C for 24 h; (ii) EDC, DMAP, CH<sub>2</sub>Cl<sub>2</sub>, 25 °C for 12 h; (iii) CuBr, PMDETA, DMF, 25 °C for 24 h; (iv) NaOMe, MeOH, amberlyst IR 120, CH<sub>2</sub>Cl<sub>2</sub>, 25 °C for 12 h.

fairly effective for the fabrication of automatic LC alignment layers.<sup>58</sup> Because the interaction of polar head groups with substrates is usually higher than with hydrophobic N-LC molecules, the initially introduced amphiphiles are phaseseparated from the N-LC media and diffused onto the substrates. However, for the fabrication of uniform LC alignment layer, several parameters, such as the initial solubility in N-LC media, the concentration of amphiphiles, the kinetics of phase-separation from the N-LC media and the self-assembly of amphiphilic molecule on the substrates, should be finely controlled.<sup>59</sup>

In this circumstance, we newly designed and synthesized the giant surfactants containing both carbohydrate and azobenzene groups (abbreviated as CELA<sub>n</sub>-OH, where n = 1 and 3) for the automatic vertical alignment (VA) layer of N-LC, which can be applied for the fabrication of remote-controllable optical devices. The cellobiosyl polar head group is specifically programmed at the end of CELA<sub>n</sub>-OH amphiphiles to induce the automatic 2D surfactant monolayer on the surface of solid substrates. The VA of N-LC medium was evaluated with respect to the concentration of CELA, OH surfactants and the number (n) of azobenzene mesogens in CELA<sub>n</sub>-OH surfactants. The CELA<sub>1</sub>D-OH giant surfactant in the N-LC medium gradually diffused onto the substrate of LC cell and self-assembled to the expanded monolayer structure, which can construct the VA layer without forming the macroscopic aggregations. On the other hand, the CELA<sub>3</sub>D-OH compound forming the condensed monolayer structure exhibited a planar alignment (PA) rather than a VA. The reversible VA (LC director is parallel to the surface normal) and PA (LC director is perpendicular to the surface normal) LC orientation was successfully demonstrated by tuning the wavelength of light, which can allow us to fabricate the remote-controllable and photopatternable LC optical devices.

## RESULT AND DISCUSSION

Programmed Photochromic Carbohydrate-based Giant Surfactants. A series of carbohydrate-based giant surfactants is newly designed and synthesized for the automatic VA layer of N-LC, which can be applied for the fabrication of remote-controllable optical devices. Utilizing the copper(I)catalyzed azide-alkyne [3 + 2] cycloaddition reaction, the azobenzene-based dendritic alkyne derivatives are click-coupled with a cellobiosyl azide compound.<sup>60-62</sup> Depending on the number (n) of azobenzene mesogens, the photoresponsive carbohydrate-based giant surfactants are abbreviated as CE- $LA_nD$ -OH. As shown in Scheme 1, the azobenzene mesogenic group with a hydrocarbon tail is specifically chosen to enhance the interactions with N-LC medium and to modulate the alignment of N-LC medium by irradiating light. The nanophase separation within the giant surfactants and against the N-LC medium can be finely tuned by introducing hydrophilic triethyleneoxide connectors and hydrophobic alkyl chains. The nondendritic azobenzene acid  $A_1$  and dendritic azobenzene acid  $A_3$  are first prepared by the functionalization with methyl paraben and methyl gallate, respectively.<sup>38</sup> According to

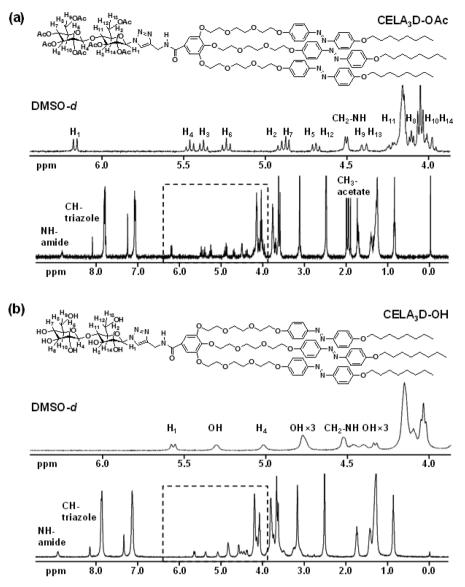


Figure 1. <sup>1</sup>H NMR spectra of CELA<sub>3</sub>D-OAc (a) and CELA<sub>3</sub>D-OH (b) in deuterated dimethyl sulfoxide solution at 25 °C.

the reference reported by Paulsen, the cellobiosyl azide is synthesized in a stereoselective manner by treating acetylated cellobiosyl compound with trimethylsilyl azide and tin tetrachloride (Scheme 1).<sup>63</sup> This compound can react via the 1,3-dipolar cycloaddition with propargyl amides of the azobenzene acids. To introduce the alkyne, the propargyl amide derivatives  $A_1D$ , and  $A_3D$  are synthesized in the presence of EDC and DMAP coupling reagents, as shown in Scheme 1. The click reaction is conducted in the anhydrous condition using CuBr and PMDETA to obtain the desired products. All of the acetyl protected derivatives are deacetylated at room temperature to obtain the giant surfactants, CELA<sub>n</sub>D-OH.

As shown in Figure 1, the acetyl protected carbohydrate derivative (CELA<sub>3</sub>D-OAc) and its deacetylated carbohydrate derivative (CELA<sub>3</sub>D-OH) are fully characterized by proton (<sup>1</sup>H) nuclear magnetic resonance (NMR) spectroscopy. By deacetylating the cellobiosyl octa-acetate carbohydrate group of CELA<sub>3</sub>D-OAc (Figure 1a) with MeONa and Amberlyst IR120, the protons of acetyl groups are completely disappeared around 2.0 ppm, and the seven alcohol peaks of deacetylated cellobiosyl carbohydrate group of CELA<sub>3</sub>D-OH are newly developed, as represented in Figure 1b. Additionally, it is

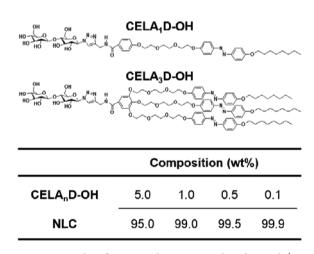
identified that the protons at deacetylated cellobiosyl carbohydrate group (from  $H_1$  to  $H_{14}$ ) in the CELA<sub>3</sub>D-OH are shifted to the upfield because the adjacent electronwithdrawing acetyl groups in the CELA<sub>3</sub>D-OH are removed. The detailed synthetic procedures are explained in the Experimental Section. Chemical structures and purities of CELA<sub>n</sub>D-OH and their intermediates are confirmed by thin layer chromatography (TLC), <sup>1</sup>H NMR (see Figures S1–S6 in the Supporting Information) and matrix-assisted laser desorption/ionization time-of-flight (MALDI-ToF) mass spectrometry (Figures S7 and S8 of the Supporting Information).

Automatic LC Alignment by Carbohydrate-based Giant Surfactants. The self-organization of amphiphilic surfactants on solid surfaces via strong hydrogen bonding is one of the most convenient strategies to develop the photoalignment layer for N-LC molecules. Because the interaction between carbohydrates' polar head groups with substrates is higher than with N-LC molecules, CELA<sub>n</sub>D-OH molecules tend to act as surfactants between the hydrophobic N-LC medium and the hydrophilic solid substrate. Generally, the amphiphilic surfactants consist of three blocks: the head groups directly interacting with the solid substrate, the linkages

with specific functions between head and tail groups and the tail groups determining the interaction parameters with N-LC molecules.58 In the CELA, D-OH giant surfactants, the cellobiosyl carbohydrate moiety is selectively chosen for the polar head group. Because there are seven alcohols in the cellobiosyl carbohydrate group of CELA, D-OH, it is very effective to make phase-separation against the N-LC medium and form the strong hydrogen bondings with the hydrophilic ITO substrates. Therefore, the CELA, D-OH surfactant directly mixed with N-LC hosts at the initial state should be phaseseparated against the N-LC media and diffused onto the substrates, which is a thermodynamically more stable state. By introducing the photochromic azobenzene moiety in the CELA, D-OH surfactant, the alignment of the N-LC can be reversibly switched with respect to the wavelength of irradiated light. The newly synthesized CELA, D-OH giant surfactants can be ideal N-LC alignment materials, which can allow us fabricated the remote-controllable and photopatternable LC optical devices.

To realize this proposal, four N-LC/CELA<sub>n</sub>D-OH mixtures with different contents of CELA<sub>n</sub>D-OH from 5.0 to 0.1 wt % are first prepared by utilizing a vortex mixer, as tabulated in Figure 2. After the mixtures are stirred for 30 min above the





**Figure 2.** Materials information: host nematic liquid crystal (N-LC, MLC 6873-100), photoresponsive giant surfactants (CELA<sub>n</sub>D-OH) and the N-LC/CELA<sub>n</sub>D-OH mixtures with different weight ratios.

isotropization temperatures of CELA<sub>n</sub>D-OH and N-LC constituents, the homogeneously mixed samples are gradually cooled down to ambient temperature. The test LC cells are fabricated by sandwiching the mixtures between two precleaned ITO glass substrates. The cell gap is controlled to be 10  $\mu$ m by applying spacers. Here, the N-LC/CELA<sub>n</sub>D-OH mixtures are filled into the LC cells on a 120 °C hot plate utilizing the one drop filling (ODF) process method, which can avoid the flow effect, and are then cooled down to room temperature.<sup>64</sup>

Upon varying the content of  $CELA_nD$ -OH surfactants in the N-LC/CELA<sub>n</sub>D-OH mixtures from 5.0 to 0.1 wt %, POM images with transmissive mode are taken (Figure 3). Whether the N-LC host molecules are vertically aligned or not is evaluated at first by the darkness of the transmissive POM image (Figure 3) and second confirmed by the formation of the Maltese cross, viewed under conoscopic microcopy with a Bertrand lens (insets of Figure 3). Note that the vertical

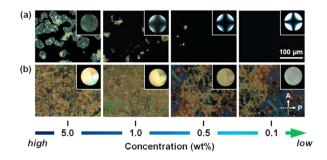


Figure 3. Orthoscopic and conoscopic (inset) POM images of the LC test cells with different weight ratios of  $N-LC/CELA_1D-OH$  (a) and  $N-LC/CELA_3D-OH$  (b) mixtures.

orientation of N-LC should exhibit a dark state under crosspolarized POM (polarizer and analyzer are arranged at a right angle with respect to each other) because the long axis of the N-LC molecule is aligned normal to the surface of test LC cells and does not exhibit any birefringence (zero birefringence effect). The formation of a Maltese cross in the conoscopic POM image is direct evidence of the VA of the LC test cell because the dark state of the LC POM image is also observed when the long axis of the N-LC molecule is parallel to the polarizer or the analyzer (zero amplitude effect).<sup>59</sup> The strong birefringence in the wide area of 5.0 wt % CELA<sub>1</sub>D-OH test cell clearly indicates that there are great amount of macroscopic CELA<sub>1</sub>D-OH aggregations (Figure 3a). By decreasing the content of CELA<sub>1</sub>D-OH surfactant in the N-LC/CELA<sub>1</sub>D-OH mixtures, the dark area gets broader in the transmissive POM image and the Maltese cross in the conoscopic POM image gets more obvious. When the amount of CELA<sub>1</sub>D-OH surfactant is reduced to 0.1 wt %, any CELA<sub>1</sub>D-OH aggregates in the N-LC/CELA<sub>1</sub>D-OH mixture are detected in the macroscopic area, and a perfect VA of N-LC is achieved with a clear Maltese cross in the conoscopic POM image. On the contrary, as shown in Figure 3b, it is apparent that CELA<sub>3</sub>D-OH surfactant does not induce the VA of N-LC medium, but the PA. Unlike the CELA<sub>1</sub>D-OH surfactant, the solubility of CELA<sub>3</sub>D-OH in the N-LC medium is high enough not to make macroscopic aggregations of CELA<sub>3</sub>D-OH even at the 5.0 wt % CELA<sub>3</sub>D-OH test cell. It is worth noting that not all the CELA<sub>1</sub>D-OH surfactants are on the substrates and some of them are still dissolved in the N-LC medium at room temperature, which physical phenomena should be further studied in the future.

When the LC cell with 0.1 wt % CELA<sub>1</sub>D-OH is slowly cooled down at 2.5 °C/min (a slow cooling) from 120 °C to the room temperature, a perfect dark state without any light leakage is obtained, as shown in Figure 3a. However, if the LC cell with the 0.1 wt % CELA<sub>1</sub>D-OH is fabricated by cooling the LC cell at 40 °C/min (a fast cooling), several bright spots are detected. This result represents the fact that the CELA<sub>1</sub>D-OH monolayer can be constructed by not only optimizing the concentration of CELA<sub>1</sub>D-OH in the LC mixture but also tuning the rates of the phase-separation from the N-LC medium as well as the diffusion of CELA<sub>1</sub>D-OH onto the substrates. Because the compatibility and interaction of CELA<sub>n</sub>D-OH with N-LC media are properly tuned by covalently connecting the nondendritic (n = 1) and dendritic (n = 3) azobenzene derivatives, the initially dissolved CELA<sub>n</sub>D-OH in the N-LC is gradually phase-separated from the N-LC medium and diffused onto the solid surface of the LC test cell and then constructs the CELA<sub>n</sub>D-OH monolayer film, which is

Research Article

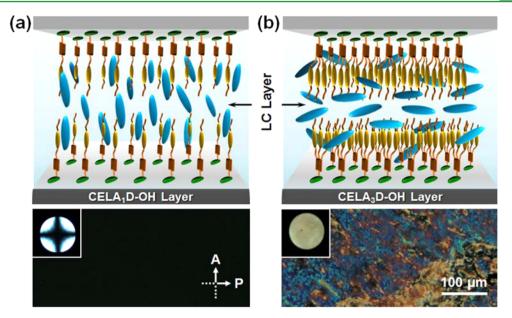


Figure 4. POM images (bottom) and their schematic illustrations (top) of the vertically aligned LC test cells filled with the 0.1 wt % of CELA<sub>1</sub>D-OH (a) and CELA<sub>3</sub>D-OH (b) surfactants.

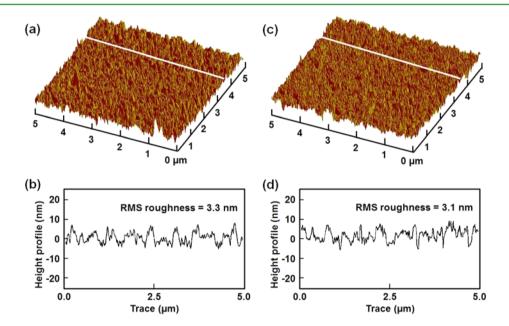


Figure 5. 3D topographic AFM images (a, c) and their corresponding height profiles (b, d) of the 0.1 wt % of CELA<sub>1</sub>D-OH (a, b) and CELA<sub>3</sub>D-OH (c, d) monolayer film on the ITO coated glass substrates.

an ideal surface structure for the formation of the alignment layer of N-LC.

Formation of Two-Dimensional Monolayered Surfactant Protrusions. Uniform  $CELA_nD$ -OH monolayers can be constructed by the phase-separation from N-LC media and the diffusion to the solid surfaces and then the self-assembly on the solid surfaces. The formation of the  $CELA_nD$ -OH surfactant monolayer is confirmed by a specifically designed experiment. The ITO substrates are first dipped in the 0.1 wt % of  $CELA_nD$ -OH/THF solution for 1 h, and tetrahydrofuran (THF) solvent on the substrates of the LC cell is slowly evaporated for 12 h. Due to the good solubility of  $CELA_nD$ -OH surfactants in common organic solvents such as THF,  $CELA_nD$ -OH surfactants can be easily fabricated into films by a simple dipping method. Note that the predoped ITO substrates with CELA<sub>n</sub>D-OH are not much changed, even after several mild rinses with THF. This means that the once formed CELA<sub>n</sub>D-OH monolayers on the ITO glass substrates are strong enough against the chemical attacks because of the hydrogen bonding interactions between the cellobiosyl polar head groups of CELA<sub>n</sub>D-OH surfactants and the hydrophilic ITO glass substrates. The preformed CELA<sub>n</sub>D-OH monolayers are carefully warmed to evaporate the solvent for 1 h to ensure complete removal of the residual solvent before filling the N-LC. Identical to the results of Figure 3 (the direct mixing with N-LC host), the VA and PA of N-LC are achieved again by the preformed CELA<sub>1</sub>D-OH and CELA<sub>3</sub>D-OH monolayers (Figure 4a,b), respectively.

To investigate the monolayer formation of the  $CELA_nD$ -OH on the solid surface, the morphological observations of the

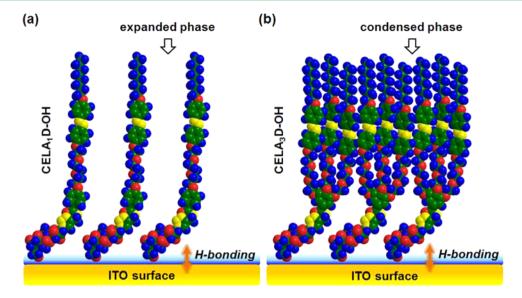


Figure 6. Schematic illustrations for the expanded phase of the  $CELA_1D$ -OH (a) and the condensed phase of the  $CELA_3D$ -OH (b) surfactant monolayers on the ITO coated glass substrates.

preformed CELA<sub>n</sub>D-OH substrates are conducted by atomic force microscopy (AFM). The surface of bare ITO substrate is also studied as a reference, which shows a relatively smooth surface (Figure S9 of the Supporting Information). As shown in Figure 5a, the 0.1 wt % CELA<sub>1</sub>D-OH coated surface exhibits many protrusions but constructs the uniform continuous layer in each protrusions. The average width and height of protrusions of the CELA<sub>1</sub>D-OH layers (Figure 5b) are in the range of 167.9 and 4.1 nm, respectively, and the root-meansquare (RMS) surface roughness is about 3.3 nm. The height profile of the 0.1 wt % CELA<sub>3</sub>D-OH as shown in Figure 5c is almost identical to that of the CELA<sub>1</sub>D-OH coated surface. The average height of protrusions and RMS surface roughness of CELA<sub>3</sub>D-OH layers (Figure 5d) are in the range of 4.3 and 3.1 nm, respectively. This result indicates that there is no significant surface topographical difference between CELA, D-OH layers. Because the calculated geometric length of CELA, D-OH along the long axis is about 4.8 nm (Figure S10 of the Supporting Information), it can be understood that the CELA, D-OH surfactants are deposited and laterally self-assembled to the monolayers, in which the molecular long axis of CELA, D-OH is parallel to the surface normal.

The tethered azobenzene mesogens with alkyl chains of CELA<sub>n</sub>D-OH can make the physical interactions with N-LC molecules near to the substrates and provide enough empty spaces for N-LC molecules to crawl into the empty zones, which results in the creation of vertical LC alignment. However, as shown in Figure 4, it is clear that the orientation of N-LC does not always follow the surface morphology. In the case of the 0.1 wt % CELA1D-OH doped LC test cell, it is well matched with our understanding that the N-LC molecules align along the parallel to the long axis of the vertically oriented CELA<sub>1</sub>D-OH monolayer. Meanwhile, the CELA<sub>3</sub>D-OH monolayer induces the PA of N-LC medium even though the molecular long axis of CELA<sub>3</sub>D-OH is normal to the surface. Therefore, it is realized that the alignment of N-LC is closely related not only to the morphological aspects of protrusion domains but also to the molecular packing structures of CELA, D-OH monolayers. As schematically illustrated in Figure 6a, the CELA<sub>1</sub>D-OH surfactant can form the expanded

structure on the surface so that the N-LC molecules in the medium can partly penetrate into the CELA<sub>1</sub>D-OH expanded structures for the formation of VA. When the number (*n*) of azobenzene mesogens in CELA<sub>n</sub>D-OH surfactants is increased from 1 to 3, the condensed structure is constructed on the surface (Figure 6b). The N-LC molecules cannot crawl into the CELA<sub>3</sub>D-OH condensed structures but lie down for the formation of PA.<sup>65</sup> Thus, it is concluded that the LC alignment crucially depends on the molecular interactions between the N-LC media and the alignment layers.

**Remote-Controllable N-LC Molecular Alignments.** The photochemical behaviors of the CELA<sub>1</sub>D-OH and CELA<sub>3</sub>D-OH surfactants are investigated by ultraviolet–visible (UV–vis) spectroscopy in a chloroform solution, and the results are summarized in Figure 7. Upon irradiating the UV light with a maximum intensity at 365 nm, the thermodynamically stable *trans*-azobenzene conformer of CELA<sub>1</sub>D-OH transforms to its metastable cis conformer and reaches into a new photostationary state in 50 s. As indicated in Figure 7a, the absorption band between two isosbestic points (320 and 430 nm) resulting in the  $\pi$ -to- $\pi^*$  transition is originated from the trans conformer

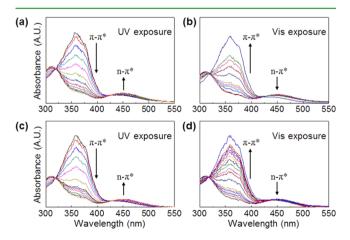


Figure 7. UV–vis absorption spectra of CELA<sub>1</sub>D-OH (a, b) and CELA<sub>3</sub>D-OH (c, d) by irradiating the 365 nm (a, c) and 450 nm (b, d) lights, respectively.

of azobenzene, whereas the ground state (n) of the cis conformer of azobenzene is excited to the  $\pi^*$  state by absorbing the UV light in the range of 430–540 nm. As subsequently exposing the visible light, the amount of trans conformational azobenzene isomer is increased by concomitantly decreasing the intensity and area of the absorption band for the trans/cis isomers of azobenzene in 30 min (Figure 8b). The trans-to-cis

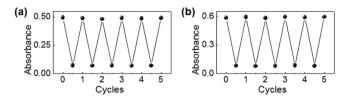


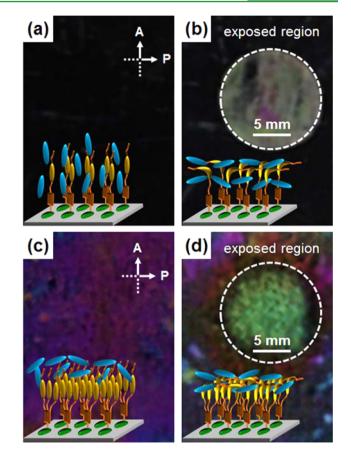
Figure 8. Absorption changes of  $CELA_1D$ -OH (a) and  $CELA_3D$ -OH (b) by alternating UV and visible light irradiation.

and cis-to-trans photoisomerization properties are also observed in the case of CELA<sub>3</sub>D-OH surfactant, as described in Figure 7c,d. The fatigue resistances of CELA<sub>n</sub>D-OH are examined by the absorption at 365 nm, as the solutions are repeatedly irradiated with the UV and visible light. Both CELA<sub>1</sub>D-OH and CELA<sub>3</sub>D-OH surfactants show excellent fatigue resistances, and no degradation is observed (Figure 8a,b, respectively). Transitions between two photostationary equilibrium states are fully reversible processes by alternating the UV and visible lights, which can allow us to fabricate a reversible photomodulating device.

The peculiar trans-cis photoisomerization process of CELA, D-OH may be useful as a photoalignment layer for the remote-controllable optical devices. However, an efficient trans-cis photoisomerization process of CELA<sub>n</sub>D-OH is required even in the self-assembled monolayer on the surface. Figure 9 shows the photomicrographs of LC test cells with 0.1 wt % CELA, D-OH monolayers. The LC test cells are placed between two Polaroid films, which are at a right angle. By irradiating the CELA<sub>1</sub>D-OH surfactant doped LC test cell with the 365 nm UV light through a circular photomask, the UV exposed part is selectively transformed from VA to PA on the spot, as shown in Figure 9a,b. When the irradiated UV light is blocked, the PA of N-LC immediately returns back to the VA. This result clearly demonstrates that the molecular alignment of host N-LC can be effectively tuned by the remote-controllable photoalignment CELA1D-OH monolayer. In the case of CELA<sub>3</sub>D-OH surfactant doped LC test cell (Figure 9c,d), the initial PA is not changed even after exposing the UV light. This means that the metastable cis-azobenzene conformers of CELA<sub>3</sub>D-OH monolayer do not open the enough empty space for N-LC molecules to penetrate into the CELA<sub>3</sub>D-OH monolayer for the formation of VA.

## CONCLUSIONS

A series of programmed photoresponsive giant surfactants (CELA<sub>n</sub>-OH) containing both photochromic azobenzene moiety and polar carbohydrate head group was specifically designed and synthesized for the construction of an automatic photoalignment layer without following the conventional polymer-based LC alignment process. From the systematic experiments of CELA<sub>n</sub>-OH in nematic liquid crystal (N-LC) media, it was found that molecular structures of giant surfactants are critical for controlling the LC alignment behaviors. In the LC cell with the 0.1 wt % CELA<sub>1</sub>-OH, the



**Figure 9.** Macroscopic photographs and the corresponding schematic illustrations of the 0.1 wt % CELA<sub>1</sub>D-OH (a, b) and CELA<sub>3</sub>D-OH (c, d) LC test cells before (a, c) and after (b, d) UV irradiations with a circular photomask. The LC test cells are placed between two Polaroid films, which are at a right angle.

CELA<sub>1</sub>D-OH giant surfactants gradually diffused onto the substrates and self-assembled to the expanded monolayer structure, which can provide enough empty spaces for the N-LC molecules to partly penetrate into the expanded structure for the construction of vertical alignment (VA) layer. On the other hand, the CELA<sub>3</sub>D-OH formed the condensed monolayer structure on the substrates resulting in the planar alignment (PA) rather than the VA, because the N-LC molecules cannot crawl into the condensed structure. Additionally, the photopatternable and remote-controllable characteristics of CELA<sub>n</sub>-OH doped LC film may provide more opportunities for the addressable displays and optical data storage.

## EXPERIMENTAL SECTION

**Materials.** All reagents were purchased from Aldrich and used without further purification. Solvents were purified before use. Nematic liquid crystal (MLC 6783-100, Merck Co.) was used as received. Cellobiosyl azide<sup>63</sup> and nondendritic and dendritic azobenzene acid compounds<sup>38</sup> were synthesized according to previously described procedures. The detailed synthetic procedures are provided in the Supporting Information.

Synthesis of Compounds  $A_1D$  and  $A_3D$ . A 100 mL roundbottom flask was charged with nondendritic azobenzene acid compound  $A_1$  (0.30 g, 0.52 mmol), propargyl amine (0.11 g, 2.07 mmol), N-(3-(dimethylamino)propyl)-N'-ethylcarbodiimide hydrochloride (EDC; 0.39 g, 2.07 mml) and 4-dimethylaminopyridine (DMAP; 0.25 g, 2.07 mmol) in 30 mL of anhydrous CH<sub>2</sub>Cl<sub>2</sub>. The

reaction was stirred under a nitrogen atmosphere at room temperature for 12 h. The solvent was removed on a rotary evaporator and the residue was purified by column chromatography on silica gel (THF:CH<sub>2</sub>Cl<sub>2</sub> = 1:3) to obtain compound  $A_1D$  as a yellow solid. The same procedure was followed to prepare compound  $A_3D$ .

 $\begin{array}{l} A_1 D. \mbox{ Yield: 87\% (0.27 g). }^{1} \mbox{ H NMR (CDCl}_3, 400 \mbox{ MHz}): $\delta$ = 0.89 (t, 3H), 1.23-1.51 (m, 10H), 1.80 (m, 2H), 2.21 (s, 1H), 3.76 (t, 4H), 3.88 (m, 4H), 4.02 (t, 2H), 4.12 (m, 2H), 4.18 (m, 4H), 6.14 (t, 1H), 6.91 (q, 2H), 6.99 (q, 4H), 7.84 (q, 4H), 7.97 \mbox{ ppm (q, 2H).} \end{array}$ 

A<sub>3</sub>D. Yield: 80% (0.25 g). <sup>1</sup>H NMR (CDCl<sub>3</sub>, 400 MHz):  $\delta = 0.89$  (t, 9H), 1.23–1.51 (m, 30H), 1.80 (m, 6H), 2.21 (s, 1H), 3.76 (t, 12H), 3.88 (m, 12H), 4.02 (t, 6H), 4.12 (m, 2H), 4.20 (m, 12H), 6.41 (t, 1H), 6.99 (q, 12H), 7.09 (s, 2H), 7.84 ppm (q, 12H).

Synthesis of Compounds CELA<sub>1</sub>D-OAc and CELA<sub>3</sub>D-OAc. Propargyl derivative  $A_1D$  (0.10 g, 0.16 mmol), cellobiosyl azide (0.16 g, 0.24 mmol), copper(I) bromide (0.04 g, 0.24 mmol) and N,N,N',N'',N''-pentamethyldiethylenetriamine (PMDETA; 0.05 g, 0.24 mmol) were dissolved in anhydrous DMF (10 mL) under nitrogen atmosphere. The mixture was stirred at 25 °C for 24 h. After the catalyst was removed by filtration, the solvent was evaporated under a reduced pressure. Distilled water was added and the product was extracted with CHCl<sub>3</sub>. The organic phase was dried with MgSO<sub>4</sub>. After filtration and evaporation, the crude product was purified by column chromatography with silica gel using THF:CH<sub>2</sub>Cl<sub>2</sub> = 1:3 to yield CELA<sub>1</sub>D-OAc as a yellow powder. The same procedure was followed to prepare compound CELA<sub>3</sub>D-OAc.

 $\begin{array}{l} \textit{CELA_1D-OAc.} \ \mbox{Yield: } 85\% \ (0.17 \ g). \ ^1H \ \mbox{NMR} \ (\mbox{DMSO-}d, 400 \ \mbox{MHz}): \\ \delta = 0.89 \ (t, 3H), \ 1.23-1.54 \ (m, 10H), \ 1.80 \ (m, 2H), \ 2.01 \ (m, 21H), \\ 3.65 \ (t, 4H), \ 3.77 \ (m, 4H), \ 3.92 \ (s, 1H), \ 4.01 \ (s, 1H), \ 4.08 \ (t, 2H), \\ 4.11 \ (t, 1H), \ 4.18 \ (m, 4H), \ 4.25 \ (s, 1H), \ 4.38 \ (s, 1H), \ 4.43 \ (s, 1H), \\ 4.51 \ (d, 2H), \ 4.71 \ (s, 1H), \ 4.75 \ (d, 1H), \ 4.85 \ (d, 1H), \ 4.89 \ (d, 1H), \\ 5.24 \ (t, 1H), \ 5.37 \ (t, 1H), \ 5.45 \ (t, 1H), \ 6.24 \ (d, 1H), \ 6.99 \ (d, 2H), \\ 7.02 \ (q, 4H), \ 7.78 \ (q, 4H), \ 7.81 \ (d, 2H), \ 8.09 \ (s, 1H), \ 8.78 \ \mbox{ppm} \ (s, 1H). \end{array}$ 

 $\begin{array}{l} \textit{CELA_3D-OAc. Yield: 78\% (0.11 g). }^{1}\text{H NMR (DMSO-}d, 400 \text{ MHz}): \\ \delta = 0.89 (t, 9\text{H}), 1.23-1.54 (m, 30\text{H}), 1.80 (m, 6\text{H}), 2.01 (m, 21\text{H}), \\ 3.65 (t, 12\text{H}), 3.77 (m, 12\text{H}), 3.92 (s, 1\text{H}), 4.01 (s, 1\text{H}), 4.08 (t, 6\text{H}), \\ 4.11 (t, 1\text{H}), 4.18 (m, 12\text{H}), 4.25 (s, 1\text{H}), 4.38 (s, 1\text{H}), 4.43 (s, 1\text{H}), \\ 4.51 (d, 2\text{H}), 4.71 (s, 1\text{H}), 4.75 (d, 1\text{H}), 4.85 (d, 1\text{H}), 4.89 (d, 1\text{H}), \\ 5.24 (t, 1\text{H}), 5.37 (t, 1\text{H}), 5.45 (t, 1\text{H}), 6.24 (d, 1\text{H}), 7.02 (q, 12\text{H}), \\ 7.21 (s, 2\text{H}), 7.84 (q, 12\text{H}), 8.09 (s, 1\text{H}), 8.78 \text{ ppm (s, 1\text{H})}. \end{array}$ 

General Procedure for the Preparation of Compounds CELA<sub>1</sub>D-OH and CELA<sub>3</sub>D-OH. The acetyl protected carbohydrate derivative CELA<sub>1</sub>D-OAc (0.30 g, 0.23 mmol) were fully dissolved in the 10 mL of anhydrous  $CH_2Cl_2$ , and anhydrous methanol (10 mL) was additionally added. After sodium methoxide was introduced (0.20 g, 3.52 mmol), the solution was stirred at room temperature for 12 h. After the reaction was finished, amberlyst IR 120 H<sup>+</sup> form was added to exchange the sodium ions, and the resin was filtered off. The crude product was purified by reprecipitation from CHCl<sub>3</sub> and methanol, and dried under vacuum to afford CELA<sub>1</sub>D-OH as a waxy yellow solid. The same procedure was followed to prepare compound CELA<sub>3</sub>D-OH.

 $\begin{array}{l} \textit{CELA_1D-OH. Yield: 96\% (0.23 g). }^{1} H \ \text{NMR} \ (\text{DMSO-}\textit{d}, 400 \ \text{MHz}): \\ \delta = 0.89 \ (t, 3H), \ 1.23-1.54 \ (m, 10H), \ 1.80 \ (m, 2H), \ 3.45 \ (m, 4H), \\ 3.65 \ (t, 4H), \ 3.71 \ (m, 4H) \ 3.77 \ (m, 4H), \ 4.08 \ (t, 2H), \ 4.11 \ (m, 4H), \\ 4.18 \ (m, 4H), \ 4.33 \ (s, 1H), \ 4.41 \ (s, 1H), \ 4.47 \ (s, 1H), \ 4.51 \ (d, 2H), \\ 4.79 \ (s, 3H), \ 5.08 \ (s, 1H), \ 5.31 \ (s, 1H), \ 5.57 \ (d, 1H), \ 6.99 \ (d, 2H), \\ 7.02 \ (q, 4H), \ 7.78 \ (q, 4H), \ 7.81 \ (d, 2H), \ 8.09 \ (s, 1H), \ 8.78 \ \text{ppm} \ (s, 1H). \\ \text{MS} \ (\text{MALDI-ToF}) \ \textit{m/z} \ \text{calcd: } 983.07 \ [M + Na]^+. \ \text{Found: } 1005.73. \end{array}$ 

 $\begin{array}{l} \textit{CELA_3D-OH. Yield: 92\% (0.24 g). }^{1}\text{H NMR (DMSO-}d, 400 \text{ MHz}): \\ \delta = 0.89 (t, 9\text{H}), 1.23-1.54 (m, 30\text{H}), 1.80 (m, 6\text{H}), 3.45 (m, 4\text{H}), \\ 3.65 (t, 12\text{H}), 3.71 (m, 4\text{H}) 3.77 (m, 12\text{H}), 4.08 (t, 6\text{H}), 4.11 (m, 4\text{H}), 4.18 (m, 12\text{H}), 4.33 (s, 1\text{H}), 4.41 (s, 1\text{H}), 4.47 (s, 1\text{H}), 4.51 (d, 2\text{H}), 4.79 (s, 3\text{H}), 5.08 (s, 1\text{H}), 5.31 (s, 1\text{H}), 5.57 (d, 1\text{H}), 7.02 (q, 12\text{H}), 7.21 (s, 2\text{H}), 7.84 (q, 12\text{H}), 8.09 (s, 1\text{H}), 8.78 \text{ ppm (s, 1\text{H})}. \text{MS} \\ (\text{MALDI-ToF)} m/z \text{ calcd: } 1896.22 [M + \text{Na}]^+. \text{ Found: } 1918.74. \end{array}$ 

**Characterization Technique.** <sup>1</sup>H NMR spectra were recorded on a JNM-EX400 spectrometer in deuterated chloroform (CDCl<sub>3</sub>) and **Research Article** 

dimethyl sulfoxide (DMSO-d) at room temperature. Chemical shifts were quoted in parts per million (ppm) and referenced to tetramethylsilane (TMS). MALDI-ToF MS (Voyager-DE STR Biospectrometry Workstation) experiments were conducted to confirm the purity of the final product. UV-vis absorption spectra were obtained with a SCINCO S-3100 spectrophotometer. The change of optical textures at a given temperature was monitored by using cross-polarized POM (Nikon ECLIPSE E600POL) coupled with a heating stage (LINKAM LTS 350). An AFM (Digital Instrument, Nanoscope IIIa) technique was employed to investigate the topology of CELA, D-OH surfactant monolayer film. Here, the contact mode was applied to get the height images. The force used by the cantilever was light enough to prevent the unexpected damage, but sufficient to accurately explore the surface features. The scanning rate was manipulated to be 1.0 Hz. The data were collected with the 512  $\times$ 512 pixels per image resolution. Additionally, the Cerius<sup>2</sup> simulation software from Accelrys (version 4.6) was also used to calculate the minimal-energy geometry in the isolated gas-phase utilizing the COMPASS force field.

#### ASSOCIATED CONTENT

#### **S** Supporting Information

<sup>1</sup>H NMR, MALDI-ToF MS and detailed experimental procedures. This material is available free of charge via the Internet at http://pubs.acs.org.

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# Notes

The authors declare no competing financial interest.

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